



NDCI
NATIONAL DRUG
COURT INSTITUTE

Revisiting Phases: Risk Matters

Developed by:
National Drug Court Institute

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WHY HAVE PHASES?

STRUCTURE

RECOVERY PROCESS

INCREMENTAL PROGRESS



TWO PARTS

COURT

BASED UPON
RISK LEVELS

PHASES

TREATMENT

BASED UPON
CLINICAL
ASSESSMENT
STAGES

LEVEL OF CARE



COURT REQUIREMENTS

- ✓ Comply with treatment
- ✓ Comply with supervision
- ✓ Recovery support groups
- ✓ Community service
- ✓ Employment
- ✓ Program fees/court costs
- ✓ Phase advancement
- ✓ Alumni/continuing care



COURT REQUIREMENTS

- ✓ Court appearances
- ✓ Drug tests
- ✓ Sobriety time
- ✓ Curfew
- ✓ Ancillary services
- ✓ Case management
- ✓ Education/vocational training/GED
- ✓ Drug-free and prosocial activities



TREATMENT

Assess

Place

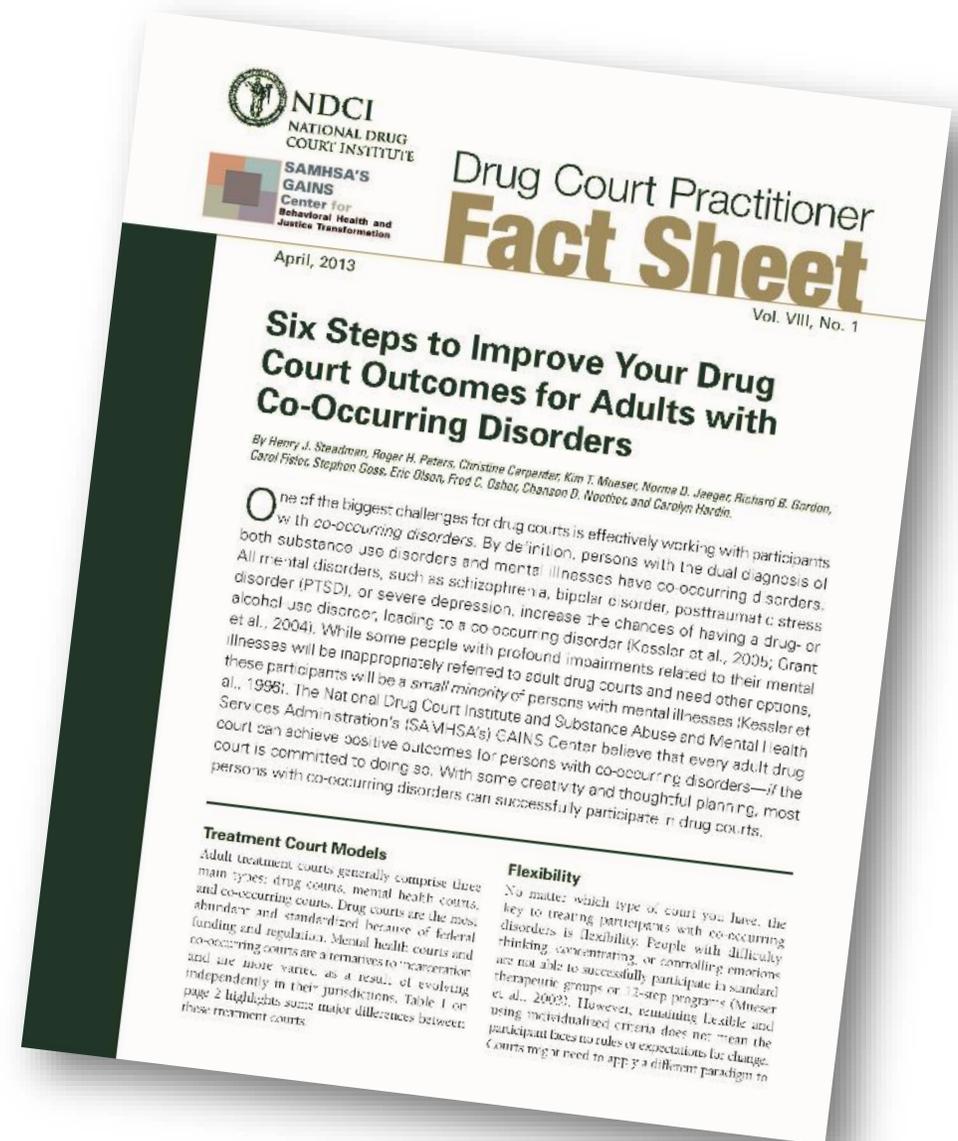
Treat



LEVELS OF CARE – ASSESS

Validated clinical
screening and
assessment tool

Levels of care



SAMPLE PHASES





HIGH RISK AND HIGH NEED

PHASE 1 ACUTE STABILIZATION

60 Days

- ✓ Court weekly
- ✓ Comply with treatment
- ✓ Comply with supervision
- ✓ Develop case plan
- ✓ Weekly office visits
- ✓ Monthly home visits
- ✓ Weekly random drug testing (minimum of 2)
- ✓ Address housing
- ✓ Obtain medical assessment
- ✓ Change people, places, and things
- ✓ Curfew 9 p.m.

In Order to Advance:

Regular attendance at treatment
Office visits

BEING HONEST

Sobriety time minimum of 14
consecutive days



PHASE 2

CLINICAL STABILIZATION

90 Days

- ✓ **Court biweekly**
- ✓ Engage with treatment
- ✓ Comply with supervision
- ✓ Continue addressing medical needs
- ✓ Continue changing people, places, and things
- ✓ **Review case plan**
- ✓ Weekly office visits
- ✓ Monthly home visits
- ✓ Weekly random drug testing (minimum of 2)
- ✓ **At end of phase, begin to focus on recovery support groups**

In Order to Advance:

Engaged with treatment

Compliance with supervision

Sobriety time minimum of 30 consecutive days

- ✓ **Maintain housing**
- ✓ **Addressing financial**
(budget assessment)
- ✓ **Curfew 10 p.m.**



PHASE 3

PROSOCIAL HABILITATION

90 Days

- ✓ **Court monthly**
- ✓ Engage with treatment
- ✓ Comply with supervision
- ✓ Continue addressing medical needs
- ✓ Demonstrate changing people, places, and things
- ✓ Review case plan
- ✓ **Biweekly office visits**
- ✓ Monthly home visits
- ✓ Weekly random drug testing (minimum of 2)
- ✓ **Begin criminal thinking program**
- ✓ **Establish prosocial activity**
- ✓ **Begin recovery network**
- ✓ **Curfew 11 p.m.**

In Order to Advance:

- Engaged with treatment
- Compliance with supervision
- Began prosocial activity
- Began recovery network
- Address medical
- Sobriety time minimum of 45 consecutive days



PHASE 4

ADAPTIVE HABILITATION

90 Days

- ✓ Court monthly
- ✓ Engage with treatment
- ✓ Comply with supervision
- ✓ Continue addressing medical needs
- ✓ Continue changing people, places, and things
- ✓ Review case plan
- ✓ **Monthly office visits**
- ✓ Monthly home visits
- ✓ Maintain housing
- ✓ Weekly random drug testing (minimum of 2)
- ✓ Maintain prosocial activity
- ✓ **Engage recovery network**
- ✓ **Curfew 12 a.m.**
- ✓ **As needed based upon assessment:**
 - ✓ **Job training**
 - ✓ **Parenting/family support**
 - ✓ **Vocational training**

In Order to Advance:

- Engaged with treatment
- Compliance with supervision
- Maintain prosocial activity
- Engage recovery network
- Address medical
- Began employment, vocational training, or school
- Begin to address ancillary services
- Sobriety time minimum of 60 consecutive days



PHASE 5 CONTINUING CARE

90 Days

- ✓ Court monthly
- ✓ Engaged with treatment
- ✓ Comply with supervision
- ✓ Continue addressing medical
- ✓ Continue changing people, places, and things
- ✓ Review case plan
- ✓ Monthly office visit
- ✓ Monthly home visits
- ✓ Maintain housing
- ✓ **Random drug testing**
- ✓ **Development of continuing care plan**
- ✓ Maintain prosocial activity
- ✓ Maintain recovery network
- ✓ As needed based upon assessment:
 - ✓ Job training
 - ✓ Parenting/family support
 - ✓ Vocational training

In Order to Commence:

- Engaged with treatment
- Compliance with supervision
- Maintain prosocial activity
- Maintain recovery network
- Maintain other employment, vocational training, or school
- Address ancillary services
- Address medical
- Sobriety time minimum of 90 consecutive days



THERE'S A LOT TO REMEMBER



SAMPLE WEEKLY SHEET

| Date/Day | Time | Activity – Goal | Initials |
|-----------|------------|--|----------|
| Monday | 6 a.m. | Take prescription meds with breakfast | |
| Monday | 8 a.m. | Obtain picture ID | |
| Monday | 2 – 4 p.m. | Attend Thinking for Change group | |
| Tuesday | 1 – 4 p.m. | Dialectical Behavioral Therapy (DBT) group | |
| Wednesday | 1 – 4 p.m. | Dialectical Behavioral Therapy (DBT) group | |
| Thursday | 1 – 4 p.m. | Dialectical Behavioral Therapy (DBT) group | |
| Friday | 1 – 2 p.m. | Individual session | |
| | | | |
| | | | |
| | | | |



CONSIDERATIONS



Population

Accountability

Resources

Culture

Challenges

**Realistic and
recovery
focus**

